UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/445,133	03/13/2000	AHMET MURSIT ESKICIOGLU	RCA88674	9526
²⁴⁴⁹⁸ Joseph J. Laks	7590 06/11/200	EXAMINER		
Thomson Licen		PALIWAL, YOGESH		
2 Independence Way, Patent Operations PO Box 5312			ART UNIT	PAPER NUMBER
PRINCETON, 1	NJ 08543	2135		
			MAIL DATE	DELIVERY MODE
			06/11/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary		Арр	lication No.	Applicant(s)				
		09/4	45,133	ESKICIOGLU, A	ESKICIOGLU, AHMET MURSIT			
		Exa	niner	Art Unit				
		YOG	ESH PALIWAL	2135				
Period fo	The MAILING DATE of this communion Reply	ication appears o	on the cover sheet with	the correspondence a	ddress			
WHI(- Exte after - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR CHEVER IS LONGER, FROM THE MINIOR OF THE MINIOR OF THE MINIOR OF THE MONTHS FROM THE MINIOR OF THE MONTHS FROM THE MINIOR OF THE MONTHS FROM THE	AILING DATE C of 37 CFR 1.136(a). Ir unication. ututory period will apply will, by statute, cause t	OF THIS COMMUNICATION IN THE PROPERTY OF THIS COMMUNICATION IN THE PROPERTY OF	ATION. Ily be timely filed HS from the mailing date of this of NDONED (35 U.S.C. § 133).				
Status								
1) 又	Responsive to communication(s) file	d on <i>22 Februa</i> i	rv 2008					
		2b)∏ This action						
3)		<i>′</i> —		rs prosecution as to th	e merits is			
٥/ك	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
Disposit	ion of Claims							
4)⊠	Claim(s) <u>1-20</u> is/are pending in the a	pplication.						
• / 🕰	4a) Of the above claim(s) is/are withdrawn from consideration.							
5)□	5) Claim(s) is/are allowed.							
	6) Claim(s) 1-20 is/are rejected.							
·	Claim(s) is/are objected to.							
•	Claim(s) are subject to restric	tion and/or elect	ion requirement.					
Applicat	ion Papers							
91	The specification is objected to by the	e Evaminer						
-	The drawing(s) filed on is/are:		or b)□ objected to by	v the Examiner				
10/	Applicant may not request that any object	•						
	Replacement drawing sheet(s) including				ER 1 121(d)			
11)	The oath or declaration is objected to		•					
·	under 35 U.S.C. § 119	,						
	Acknowledgment is made of a claim	for foreign priori	tv under 35 II S C - 8 1	110(a)-(d) or (f)				
	☐ All b)☐ Some * c)☐ None of:	or loreign phon	ly under 55 0.5.0. g	119(a)-(u) 01 (1).				
a)	<i>' '</i>	documente have	heen received					
	1. Certified copies of the priority documents have been received.							
	2. Certified copies of the priority documents have been received in Application No3. Copies of the certified copies of the priority documents have been received in this National Stage							
	application from the Internatio	• •		cocived in this reationa	1 Otage			
* (See the attached detailed Office action	•		eceived.				
·		21 21 110 21 1110						
Attachmo-	ut(e)							
Attachmen 1) Notice	ce of References Cited (PTO-892)		4) Interview Su	mmary (PTO-413)				
2) Notic	ce of Draftsperson's Patent Drawing Review (P	TO-948)	Paper No(s)/	Mail Date				
	mation Disclosure Statement(s) (PTO/SB/08)			ormal Patent Application				
гаре	er No(s)/Mail Date		6)	- ·				

Art Unit: 2135

DETAILED ACTION

 Applicant's amendment filed on February 22, 2008 has been entered. Currently claims 1-20 are pending in this application.

Response to Arguments

- 1. Applicant's arguments filed on 5/29/07 have been fully considered but they are not persuasive for the following reason:
 - Regarding Claim 1, applicant argues that: "The Office Action is asserting that the "encrypted part" of Kaplan's cryptolope corresponds to the "encrypted message" of claim 1. If this is the case, the encrypted part of Kaplan does not "comprise a descrambling key and event information," as required by claim 1. The "encrypted part" of Kaplan is understood to be the ultimate content to be viewed (or listened to, etc.). Moreover, if the encrypted part of Kaplan corresponds to the claimed encrypted message, what part of Kaplan corresponds to the event? If the cryptolope corresponds to the event, the cryptolope is not scrambled: as required by claim 1, and a descrambling key is not included in the encrypted part. Kaplan is deficient in teaching all features of claim 1."
 - Examiner in reply would like to point out that examiner provided encrypted part (text) and encrypted part (image) as elements that can be interpreted to correspond to the claimed "encrypted message". However, applicant should note that in addition to encrypted parts, key record and other encrypted parts such Encrypted fingerprinting and watermarking instructions are also encrypted parts

Art Unit: 2135

of the cryptolope and have been interpreted as "encrypted message" (see Fig. On Page 3). The Key record and encrypted fingerprinting and watermarking does infect contain descrambling key and event information (see, Page 3). Examiner would like to further establish that contents of the cryptolope are what examiner is interpreting as events and contents are infect scrambled using document keys. Therefore, examiner still maintains that Kaplan discloses all the limitations of claim 1, except, "authenticating in the device a source of the digital signature and the encrypted message". Renaud was used to teach this limitation. As a result, examiner asserts that claim 1 is still unpatentable over the combination of Kaplan and Renaud.

- Regarding Claims 15 and 18, applicant argues that: "Specifically, and for reasons similar to those described above with respect to claim 1, Kaplan is not understood to teach a message and a digital signature associated with each event in a guide, with the message encrypted, as recited in claim 15, nor is it understood to teach or suggest a digital certificate and a separate message corresponding to each event in the guide, with the message being encrypted.

 Because the Examiner is equating the "encrypted part" of Kaplan with the claimed encrypted message, the rejection must fail,: because while the encrypted part of Kaplan is encrypted, it is not decrypted to obtain event information and a symmetric key, as required by claims 15 and I 8."
- In reply examiner, still maintain that Kaplan discloses the cryptolope system wherein the user device receive an electronic list of events having a plurality of

Application/Control Number: 09/445,133

Art Unit: 2135

events, (Page 6, lines 13- 16, "A cryptolope is usually acquired or "downloaded" by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service"), the list having a message and a digital signature associated with each event in the list (Page 6, lines 13-16 and Figure of Page 3, it can be seen from the figure that each cryptolope contains message and digital signature). Kaplan does not expressly disclose indicating the events that are available to the customer in the form of an electronic list of events. However, this limitation was found to be obvious for the reason provided in last office action and reaped below. Furthermore, applicant argument regarding equating the "encrypted part" of Kaplan with the claimed encrypted message is not found persuasive for the same reasons explained above.

Page 4

- Applicant further argues that: "Moreover, the combination of these references is
 not understood to teach or suggest decrypting a message associated
 with/corresponding to an event in a guide to obtain event information and a
 symmetric key and then using the symmetric key to descramble a scrambled
 event, as also recited in claims 15 and 18."
- In reply examiner would like to point out that both the key record and encrypted fingerprinting and watermarking instructions are decrypted and decryption of key record does reveal the symmetric key (see Page 3, "DES-CBS", DES encryption is symmetric encryption) which is used to descramble a scrambled event (see Page 3). Therefore, rejections of claims 15 and 18 are also maintained.

Art Unit: 2135

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over the article by Kaplan ("IBM Cryptolopes, Super Distribution and Digital Rights Management"), hereinafter Kaplan, in view of Renaud (US 6,021491), hereinafter Renaud.

Regarding Claim 1, Kaplan discloses the cryptolope system wherein the user device receives an electronic list of events available from one or more sources (Page 6, lines 13-16, "A cryptolope is usually acquired or "downloaded" by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service"), each event having a digital signature and an encrypted message associated therewith (Figure on page 3, "Authenticity (BOM) with Digital Signatures" can be interpreted as "even having a digital signature", as required by claim limitation and "encrypted part (text)", "encrypted part (image)", "Key Record" and "Encrypted Fingerprinting and Watermarking Instructions" all belong to the encrypted part of the cryptolope and can be interpreted as "encrypted message", as required by claim limitation.)

receiving in the device, in response to user selection of one of the events from the list of events (Page 6, lines 13-16, "A cryptolope is usually acquired or "downloaded"

Art Unit: 2135

by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service") the digital signature and the encrypted message associated with the selected event (Figure on page 3, each cryptolope contains encrypted message and digital signature), the digital signature being encrypted with a first key (Page 5, lines 14-17, "The digital signature of the publisher of the cryptolope is computed and appended to the BOM. (the digital signature is computed as the encryption of the cryptographic hash of the BOM under the private key of the publisher using a "public key" algorithm such as RSA.)") and the encrypted message being encrypted with a second key different from the first key (Page 3, lines 14-15, "Each document key is encrypted under a master key. The resulting encrypted document keys are stored in key records within the cryptolope"". Note: It can be seen that digital signature is computed using a private key of the publisher and the message [document key] is encrypted with a master key, as a result, Kaplan teaches a claim limitation that requires second key to be different from the first key).

the encrypted message comprising a descrambling key (Figure on Page 3, "Key Record") and event information (Figure on Page 3, "Terms and Conditions" and Page 4, "Fingerprinting/watermarking instructions/specifications") including at least one a channel identity, date and time stamp, event identity and payment amount corresponding to the selected event (Page 4, lines 11-24, "Terms and Conditions" and Page 4, "Fingerprinting/watermarking instructions/specifications"):

Art Unit: 2135

authenticating (Page 5, line 8, "Authentication with Digital Signatures"), a source of the digital signature and the encrypted message associated with the selected event by decrypting the digital signature in response to receiving the digital signature and the encrypted message (Page 5, lines 20-23, "A user or clearing center can verify the authenticity of any part(s) of a cryptolope by first checking the digital signature on the BOM (using information contained in the public key certificate) and then checking that the cryptographic hash values(s) of the part(s) of the cryptolope match the value(s) stored in the BOM.");

decrypting in the device, the encrypted message to obtain the descrambling key upon the authenticating (Page 7, " "Buying" a Cryptolope", Parts 7 and 8);

receiving in the device the selected event from the service provider, the selected event being scrambled using the descrambling key for preventing unauthorized access to the selected event (Page 6, Publisher Content Creator Paragraph); and

descrambling in the device, the selected event using the descrambling key (Part 8 of "Buying" a Cryptolope", on page 7).

Although Kaplan discloses the authentication of the digital signature of the provider, the system of Kaplan also discloses the authentication among participants.

However Kaplan does not expressly disclose the authentication in the receiving device.

Renaud discloses a method, apparatus, and product for verifying the authenticity of data within one or more data files (abstract). Renaud discloses the user receiving a signed file that it verifies the authenticity of the signed signature file (Column 7 lines 48-62).

Art Unit: 2135

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to authenticate the contents of files as in Renaud using the digital signature and system of Kaplan. One of ordinary skill in the art would have been motivated to do this because digital signature verification provides a relatively high level of confidence in the authenticity of the source of the received data (Renaud Column 2, lines 1-10)

Claims 2-14, are rejected under 35 U.S.C. 103(a) as being unpatentable over the article by Kaplan and further in view Renaud as in claim 1 and further in view of Macq.

Regarding Claim 2, the rejection of claim 1 is incorporated and Kaplan further discloses device comprising the steps of decrypting the message, receiving the selected event (Page 6, lines 13-16, "A cryptolope is usually acquired or "downloaded" by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service"), and descrambling the selected event (Page 7, ""Buying" a Cryptolope", steps 7 and 8). Combination of Kaplan and Renaud does not teach that receiving and descrambling of event are performed in smart card and message is encrypted using the public of smart card and decrypted using the private key of the smart card.

Macq discloses message being encrypted using a public key of the smart card (Page 945, Column 2, lines 10-15, "In other systems [34], where K1 ¹ K2, the encryption transformation, Ek1, may be publicly known because it I a one-way

Application/Control Number: 09/445,133

Page 9

Art Unit: 2135

function. In this case, the term K1 in (1), is seen as a public key (everyone is able to encrypt) but the key K2, which is required for an easy decryption is only known by the authorized receivers". Note: Macq further disclose that authorized receivers include "smart card" to save the private key and perform the security processing on incoming messages, refer to Page 950, lines 40-45, "smart card") passing the message to the smart card (Page 950, lines 40-41, "These messages are routed to the security processor (implemented for example as a smart card) over the signal transmission channel."); decrypting, in the smart card, the message using a private key being stored within the smart card (Page 950, lines 42-44, "The security processor will decipher the control word [that is equivalent to the document key of Kaplan]", Note: it is implied that when public key encryption method is used, as suggested by Macq at page 945, Column 2, lines 10-15, the encrypted data which is encrypted using a public key of the receiver [smart card] can only be decrypted using a private key of the receiver [smart card]).

Therefore, it would have been obvious at the time the invention was made to one of ordinary skill in the art to utilize in the system of Kaplan, smart card attached to the user terminal, as suggested by Macq, because smart cards are small and efficient with increasingly more powerful processing speed. Also smart card provides full protection of the private key, which needs to be in full secrecy all time.

Regarding **Claim 3**, the rejection of claim 2 is incorporated and Kaplan further discloses the message further comprises event information, the event information being decrypted using the private key **(Page 3)**.

Art Unit: 2135

Regarding Claim 4, the rejection of claim 3 is incorporated and combination of Kaplan, Renaud and Macq further discloses step of storing the event information, wherein the step of storing event information is performed in the smart card (Macq, Page 950, lines 42-44, "The security processor will decipher the control word [that is equivalent to the document key of Kaplan] and supply it to the unscrambling unit if one of the entitlements it contains covers the access parameters appearing in the ECM")

Regarding Claim 5, the rejection of claim 4 is incorporated and Macq discloses smart card has a card body having plurality of terminals arranged on a surface of the card body in accordance with one of IS) 7816 and PCMCIA card standard (Note: it is inherent that the card body has terminals on its body for connection to the card reader for accessing the memory of the card).

Regarding **Claim 6**, the rejection of claim 5 is incorporated and Kaplan further discloses authenticating said list of events to verify the origin of said message. The events in the list are authenticated by the virtue of the list are authenticated by the virtue of the list being encrypted by the service provider. The terminal then decrypts the packets with the corresponding key. This implies that only those with the key that corresponds the key of the service provider can decrypt the list and therefore the information comes from the service provider (page 2).

Regarding Claim 7, the rejection of claim 6 is incorporated and further Kaplan discloses the use of the private key used for digital signatures for authentication purposes (page 3).

Regarding **Claim 8**, the rejection of claim 4 is incorporated and further Kaplan discloses that event information comprises channel identity data, date and time stamp data and billing data (page 4).

Regarding **Claim 9**, Claim 9 depends from claim 3 and is identical to claim 4 (which also depend from claim 3) and thus rejected on same rational as claim 4 above.

Regarding **Claim 10**, the rejection of claim 7 is incorporated and Kaplan further discloses digital signature, said second public key and said second private key are issued by an independent certificate authority and are associated with said list provider **(page 6)**.

Regarding Claim 11, the rejection of claim 10 is incorporated and Kaplan further discloses that discloses the device is a digital television (The device suggested by Kaplan is a display device, a digital television is a display device (Page 1)).

Regarding Claim 12, the rejection of claim 10 is incorporated and the combination of Kaplan and Macq further discloses that the device is a set-top box (Macq, Page 945, line 39, "Set-top box").

Regarding Claim 13, the rejection of claim 4 is incorporated and Kaplan further discloses that the event information is used within the device to update a user's account information (Page 7, ""Buying" a Cryptolope", step 1).

Regarding Claim 14, the rejection of claim 13 is incorporated and Kaplan further discloses that the event information is downloaded to an independent billing center to update the user's account information (Page 7, " "Buying" a Cryptolope", steps 2,3 and 4).

Art Unit: 2135

Claims 15-17 are rejected under 35 U.S.C 103(a) as being unpatentable over Kaplan in view of Renaud and further in view of Macq.

Regarding Claim 15, Kaplan discloses the cryptolope system wherein the user device receive an electronic list of events having a plurality of events, (Page 6, lines 13-16, "A cryptolope is usually acquired or "downloaded" by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service"), the list having a message and a digital signature associated with each event in the list (Page 6, lines 13-16 and Figure of Page 3, it can be seen from the figure that each cryptolope contains message and digital signature), the message being encrypted using a key (Page 3, line 14, "Each document key is encrypted under a master key") and the digital signature being created using a private key of the list provider (Page 5, lines 14-17, "The digital signature of the publisher of the cryptolope is computed and appended to the BOM. (the digital signature is computed as the encryption of the cryptographic hash of the BOM under the private key of the publisher using a "public key" algorithm such as RSA.)"):

selecting an event from the list (Page 6, lines 13-16, "A cryptolope is usually acquired or "downloaded" by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service");

Art Unit: 2135

receiving the encrypted message and the digital signature corresponding to the selected event (Figure of Page 3, with encrypted messages, encrypted keys and digital signature of the provider);

authenticating the list provider by decrypting the digital signature using a public key of the list provider, the list provider public key being stored in the device; (Page 5, lines 20-23, "A user or clearing center can verify the authenticity of any part(s) of a cryptolope by first checking the digital signature on the BOM (using information contained in the public key certificate) and then checking that the cryptographic hash values(s) of the part(s) of the cryptolope match the value(s) stored in the BOM.");

receiving from the service provider the selected event, the selected event being scrambled using the symmetric key (Page 6, Publisher Content Creator Paragraph);

Although Kaplan discloses the authentication of the digital signature of the provider, the system of Kaplan also discloses the authentication among participants.

However Kaplan does not expressly disclose the authentication in the receiving device.

Renaud discloses a method, apparatus, and product for verifying the authenticity of data within one or more data files (abstract). Renaud discloses the user receiving a signed file that it verifies the authenticity of the signed signature file (Column 7 lines 48-62).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to authenticate the contents of files as in Renaud using the digital signature and system of Kaplan. One of ordinary skill in the art would have been

Art Unit: 2135

motivated to do this because digital signature verification provides a relatively high level of confidence in the authenticity of the source of the received data (Renaud Column 2, lines 1-10)

Kaplan does not expressly disclose indicating the events that are available to the customer in the form of an electronic list of events.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to indicate to the customer the types of events that are available to the customer in the form of an electronic list of events. One of ordinary skill in the art would have been motivated to do this because a list is an organized and simple way of communicating information.

Kaplan does not disclose:

message being encrypted using a public key of the smart card;

passing the message to the smart card;

decrypting, in the smart card, the message using a private key of the smart card to obtain event information and symmetric key, the smart card private key being stored within the smart card;

storing the event information in smart card and updating account information based on the event information;

and descrambling, in the smart card, the selected event using the symmetric key to generate a descrambled event.

Macq discloses message being encrypted using a public key of the smart card (Page 945, Column 2, lines 10-15, "In other systems [34], where $K_1 \neq K_2$, the

Art Unit: 2135

encryption transformation, E_{k1} , may be publicly known because it I a one-way function. In this case, the term K_1 in (1), is seen as a public key (everyone is able to encrypt) but the key K₂, which is required for an easy decryption is only known by the authorized receivers". Note: Macq further disclose that authorized receivers include "smart card" to save the private key and perform the security processing on incoming messages, refer to Page 950, lines 40-45, "smart card") passing the message to the smart card (Page 950, lines 40-41, "These messages are routed to the security processor (implemented for example as a smart card) over the signal transmission channel."); decrypting, in the smart card, the message using a private key being stored within the smart card (Page 950, lines 42-44, "The security processor will decipher the control word [that is equivalent to the document key of Kaplan]", Note: it is implied that when public key encryption method is used, as suggested by Macq at page 945, Column 2, lines 10-15, the encrypted data which is encrypted using a public key of the receiver [smart card] can only be decrypted using a private key of the receiver [smart card]); storing the event information in smart card and updating account information based on the event information and descrambling in the smart card (Page 950, lines 42-44, "The security processor will decipher the control word [that is equivalent to the document key of Kaplan] and supply it to the unscrambling unit if one of the entitlements it contains covers the access parameters appearing in the ECM"); the selected event using the symmetric key to generate a descrambled event (Page 954, lines 31-

Art Unit: 2135

32, "If the checking is correct, the ACU gives the descrambler the key to decipher the program").

Therefore, it would have been obvious at the time the invention was made to one of ordinary skill in the art to utilize in the system of Kaplan, smart card attached to the user terminal, as suggested by Macq, because smart cards are small and efficient with increasingly more powerful processing speed. Also smart card provides full protection of the private key, which needs to be in full secrecy all time.

Regarding Claim 16, rejection of claim 15 is incorporated and the combination of Kaplan, Renaud and Macq further discloses wherein the device is a set-top box (Macq, Page 945, line 39, "Set-top box")

Regarding Claim 17, rejection of claim 15 is incorporated and Kaplan further discloses that the device is a digital television (The device suggested by Kaplan is a display device, a digital television is a display device (Page 1)).

Claim 18-20 are rejected under 35 U.S.C 103(a) as being unpatentable over the combination of Kaplan in view of Renaud and Schneier and further in view of Macq.

Regarding Claim 18, Kaplan discloses the cryptolope system wherein the user device receive an electronic list of events from a provider (Page 6, lines 13-16, "A cryptolope is usually acquired or "downloaded" by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service") having a digital certificate (Page 5, lines 18-19, "Additionally, the public key certificate of the

Art Unit: 2135

publisher is included as another sub-file of the cryptolope, represented in a standard format such as X.509) and a separate message corresponding to each event in the list (Figure at page 3), each of said digital certificates being encrypted using a first private key of the provider (Page 5, lines 18-19), the separate message being encrypted using a key (Page 3, line 14, "Each document key is encrypted under a master key") and having a associated digital signature created using a second private key of the provider (Page 5, lines 14-17, "The digital signature of the publisher of the cryptolope is computed and appended to the BOM. (the digital signature is computed as the encryption of the cryptographic hash of the BOM under the private key of the publisher using a "public key" algorithm such as RSA.)")

selecting an event from the list (Page 6, lines 13-16, "A cryptolope is usually acquired or "downloaded" by the user as a result of a "web search", or an Internet "surfing" session, or a cryptolope may be passed to the user by another user or an information gathering service");

receiving the digital certificate, the message and the digital signature corresponding to the selected event (Figure at page 3, and page 5 lines 18-19)

Kaplan does not expressly disclose indicating the events that are available to the customer in the form of an electronic list of events.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to indicate to the customer the types of events that are available to the customer in the form of an electronic list of events. One of ordinary skill in the art

would have been motivated to do this because a list is an organized and simple way of communicating information.

Although Kaplan discloses decrypting a digital signature during the authentication, Kaplan does not disclose using a first public key to obtain a second public key.

Schneier discloses transferring keys using key-encryption keys to encrypt other keys for distribution (Page 176, section 8.3 paragraph 3). Therefore decrypting the first key to obtain a second key.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use a key encryption key to encrypt another key as in Schneier to decrypt the digital signature of Kaplan. On of ordinary skill in the art would have been motivated to do this because key encryption key is a common method of distributing keys (Schneier Page 176, section 8.3, paragraph 3).

The combination of Kaplan and Schneier does not disclose:

message being encrypted using a public key of the smart card;

passing the message to the smart card;

decrypting, in the smart card, the message using a private key of the smart card to obtain event information and symmetric key, the smart card private key being stored within the smart card;

storing the event information in smart card and updating account information based on the event information;

Art Unit: 2135

and descrambling, in the smart card, the selected event using the symmetric key to generate a descrambled event.

Macq discloses message being encrypted using a public key of the smart card (Page 945, Column 2, lines 10-15, "In other systems [34], where $K_1 \neq K_2$, the encryption transformation, E_{k1} , may be publicly known because it I a one-way function. In this case, the term K_1 in (1), is seen as a public key (everyone is able to encrypt) but the key K2, which is required for an easy decryption is only known by the authorized receivers". Note: Macq further disclose that authorized receivers include "smart card" to save the private key and perform the security processing on incoming messages, refer to Page 950, lines 40-45, "smart card") passing the message to the smart card (Page 950, lines 40-41, "These messages are routed to the security processor (implemented for example as a smart card) over the signal transmission channel."); decrypting, in the smart card, the message using a private key being stored within the smart card (Page 950, lines 42-44, "The security processor will decipher the control word [that is equivalent to the document key of Kaplan]", Note: it is implied that when public key encryption method is used, as suggested by Macq at page 945, Column 2, lines 10-15, the encrypted data which is encrypted using a public key of the receiver [smart card] can only be decrypted using a private key of the receiver [smart card]); storing the event information in smart card and updating account information based on the event information and descrambling in the smart card (Page 950, lines 42-44, "The security processor will decipher the control word [that is equivalent to the document key of Kaplan] and supply it to

the unscrambling unit if one of the entitlements it contains covers the access parameters appearing in the ECM"), the selected event using the symmetric key to generate a descrambled event (Page 954, lines 31-32, "If the checking is correct, the ACU gives the descrambler the key to decipher the program").

Therefore, it would have been obvious at the time the invention was made to one of ordinary skill in the art to utilize in the system of Kaplan, smart card attached to the user terminal, as suggested by Macq, because smart cards are small and efficient with increasingly more powerful processing speed. Also smart card provides full protection of the private key, which needs to be in full secrecy all time.

Regarding **Claim 19**, rejection of claim 18 is incorporated and the combination of Kaplan, Renaud and Macq further discloses wherein the device is a set-top box (Macq, Page 945, line 39, "Set-top box")

Regarding **Claim 20**, rejection of claim 18 is incorporated and Kaplan further discloses that the device is a digital television (The device suggested by Kaplan is a display device, a digital television is a display device (Page 1)).

Conclusion

3. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not

Art Unit: 2135

mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to YOGESH PALIWAL whose telephone number is (571)270-1807. The examiner can normally be reached on M-F: 7:30 AM - 5:00 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on (571) 272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Y. P./ Examiner, Art Unit 2135 /KIMYEN VU/ Supervisory Patent Examiner, Art Unit 2135